

Giving the Gift of Settlement Stock in Old Harbor Native Corporation

Any shareholder, 18 years of age or older, may gift their stock to their descendant, so long as their descendant is a native or a descendant of a native. Descendant includes: child, grandchild, great grandchild, niece, nephew, brother or sister. Brother and sister includes half brothers and half sisters but does not include stepbrothers and stepsisters. Adopted descendants can receive a gift of stock if their adoption occurred before they reached the age of 18 and it is recognized at law or in equity. The gift can only be made if the stock is not under custodianship, guardianship or court order for divorce or child support. Be advised that any gift of stock that you make may have tax consequences; and therefore, we suggest seeking the advice of a tax accountant or lawyer before making any transfers.

IMPORTANT NOTE: The entire **gifting packet must be returned**, with the signed and notarized recipient acceptances, including all other required documents, **before the gift transfer can be completed**.

Instructions for Shareholder Giving Shares:

1. Fill in your name in the blank space provided in the first paragraph of the form.
2. Complete Part I Information Regarding Shareholder Giving Shares,
Part II Information Regarding Recipient(s)

Read, Sign & Date Part III Affidavit: Shareholder Gifting Stock, in the presence of a Notary
3. Have Recipient or Recipient's Custodian sign Part IV: Acceptance Certificate.

Instructions for Recipient or Recipient's Custodian:

1. Fill in the Blanks of the Acceptance Certificate and, in Section A of the Acceptance Certificate be sure to circle whether you are the Recipient or the Custodian.
2. The Acceptance must be signed by the Recipient or, if the recipient is under the age of 18, the Recipient's Custodian must sign the Acceptance and complete the Custodian Information.

Return the Forms:

1. The completed, signed and notarized forms must be returned to:
Old Harbor Native Corporation If you have questions, please call:
PO Box 71 Telephone: (907) 286-2286
Old Harbor, AK 99643
2. The Additional Documents Listed Below Must Accompany the Affidavit:

____ **Shareholder Social Security Number Certification.** A Shareholder Social Security Number Certification form is required for each person who is receiving a gift of stock.

____ **Birth Certificate(s).** If you are gifting stock to your:

 Child Provide a copy of your child's birth certificate.

 Grandchild Provide a copy of your grandchild's birth certificate and a copy of their parent's (your child's) birth certificate.

 Great-Grandchild Provide a copy of your great grandchild's birth certificate, a copy of the grandparent's birth certificate and a copy of their parent's birth certificate.

Niece or Nephew	Provide a copy of their birth certificate, a copy of your birth certificate and a copy of their parent's birth certificate (the parent who is your sibling).
Brother or Sister	Provide a copy of their birth certificate and your birth certificate.

INFORMATION SHEET ON APPOINTMENT OF CUSTODIAN FOR MINOR SHAREHOLDERS

Under Alaska law, children under 18 years of age who receive stock in a Native Corporation must have their stock issued to a custodian. The person giving the stock may designate the custodian if they desire. Otherwise, the custodian will be determined according to the following priority established by Alaska Law:

1. The legal guardian of the child, if any;
2. A parent of the child, as selected by the parents;
3. An adult member of the child's family, which includes parents, grandparents, brothers, sisters, uncles and aunts, whether of the whole or half blood or through adoption, and may also include members of the family with whom the child has customarily lived.

Old Harbor Native Corporation will issue the stock in the name of the custodian, as custodian for the child. The custodian will be entitled to vote the stock until the child reaches the age of 18 at which time (s)he will be entitled to vote.

The custodian receives dividends and other distributions on behalf of the child. The duties of the Custodian are set out in the statute. In general, they allow the custodian to spend as much of the dividends and distributions, if any, as the custodian considers necessary for the support, maintenance, education and benefit of the child. The remainder is to be held separately on behalf of the child. All money, if it is to be invested, must be placed in a separate account with a broker or an insured financial institution in the name of the custodian followed by the words "as custodian for" (child's name) under the Alaska Uniform Transfer to Minors Act." The custodian must keep a record of all transactions regarding custodial property. If the custodian is a person other than a parent or legal representative of the child, the records must be made available at reasonable intervals to the parents or legal representative, or, if the minor is over 14 years of age, to the minor.

The custodian is entitled to reimbursement from the custodial property for expenses, but is not entitled to any compensation unless specifically ordered by the court.

The custodianship will terminate when the child reaches age 18. At termination, the dividends, distributions and other property must be turned over to the child.

For each child for whom a custodian is to be appointed, the custodian must sign Part IV: Acceptance Certificate, on behalf of the child.

GIFT OF SETTLEMENT COMMON STOCK AND ACCEPTANCE

State of _____)
 _____) ss.
 _____ County/Judicial District)

I, _____, am making this Affidavit for the purposes of making an Inter Vivos Gift of Stock pursuant to the provisions of Section 7 (h)(1)(C)(iii) and Section 8(c) of the Alaska Native Claims Settlement Act. I do hereby give, assign, transfer, convey and set over to the descendant(s) I have named herein, stock in Old Harbor Native Corporation, according to the instructions I have provided in this Affidavit.

PART I INFORMATION REGARDING SHAREHOLDER (Person Giving Shares)

Full Name: _____		Shareholder ID No: _____
Address: _____		SSN: _____
City, State, Zip: _____		Date of Birth: _____
Telephone No: _____		Email: _____
Shares Owned Before This Gift:	Total Number of Shares I am Gifting:	Number of Shares I will own After this Gift:
Class A _____	Class A _____	Class A _____
Class B _____	Class B _____	Class B _____
	Number of Recipients I am Gifting Shares to: _____	

PART II INFORMATION REGARDING RECIPIENT(S) (Person Receiving Gift Shares)

Full Name: _____		SSN: _____
Address: _____		Date of Birth: _____
City, State, Zip: _____		OHNC ID No. (If already a Shareholder): _____
Telephone No: _____		Email: _____
Is Recipient a minor (under 18 years of age)? <input type="checkbox"/> Yes <input type="checkbox"/> No If YES, please designate a custodian here: _____		
Relationship to Shareholder: <input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister		
Number and Class of Shares I am Gifting to Recipient:		Shares _____ Class _____

PART II (Continued)
INFORMATION REGARDING RECIPIENT(S)

Full Name: _____ SSN: _____
Address: _____ Date of Birth: _____
City, State, Zip: _____ OHNC ID No. (If already a Shareholder): _____
Telephone No: _____ Email: _____

Is Recipient a minor (under 18 years of age)? Yes No If YES, please designate a custodian here: _____

Relationship to Shareholder:
 Child Grandchild Great Grandchild Niece Nephew Brother Sister

Number and Class of Shares I am Gifting to Recipient: Shares _____ Class _____

Full Name: _____ SSN: _____
Address: _____ Date of Birth: _____
City, State, Zip: _____ OHNC ID No. (If already a Shareholder): _____
Telephone No: _____ Email: _____

Is Recipient a minor (under 18 years of age)? Yes No If YES, please designate a custodian here: _____

Relationship to Shareholder:
 Child Grandchild Great Grandchild Niece Nephew Brother Sister

Number and Class of Shares I am Gifting to Recipient: Shares _____ Class _____

Full Name: _____ SSN: _____
Address: _____ Date of Birth: _____
City, State, Zip: _____ OHNC ID No. (If already a Shareholder): _____
Telephone No: _____ Email: _____

Is Recipient a minor (under 18 years of age)? Yes No If YES, please designate a custodian here: _____

Relationship to Shareholder:
 Child Grandchild Great Grandchild Niece Nephew Brother Sister

Number and Class of Shares I am Gifting to Recipient: Shares _____ Class _____

PART III
AFFIDAVIT: SHAREHOLDER GIFTING STOCK AND NOTARY

1. I understand that the Alaska Native Claims Settlement Act (43 U.S.C. 1606 and 1607) permits a shareholder to give a gift of shares to a descendant: child, grandchild, great grandchild, niece, nephew, brother or sister.
2. I attest that I am at least 18 years of age.
3. I wish to make a gift of shares to the recipient(s) shown herein.
4. I understand that if there are taxes owed as a result of this transfer, Old Harbor Native Corporation will not be responsible for payment, and that payment of any such taxes will be either my responsibility as donor or the recipient's responsibility.
5. I understand that my gift places in the hands of the recipient all authority to transfer these shares by will or gift; that I will no longer have voting rights for these shares; that I will no longer receive dividends, distributions or other benefits for the shares that I am gifting.
6. I understand that by signing this affidavit, I am swearing under oath to the best of my knowledge and belief that everything stated herein is true, and that I am acting of my own free will and am not under any undue pressure, influence, or duress.
7. I affirm that I have not received anything of value nor was I promised anything of value as compensation or payment for the stock I wish to transfer.
8. None of the above shares, nor any of my rights relative to them, have in whole or in part been assigned, transferred, hypothecated, gifted or otherwise disposed of by court decree of separation, divorce or child support, or otherwise.
9. I have read and understand the information provided in this Affidavit.

Signature of Shareholder Gifting Stock

DATED this _____ day of _____, _____

THIS IS TO CERTIFY that on this _____ day of _____, _____, before me, personally appeared _____, to me known and known to me to be the identical individual described in and who executed the within and foregoing instrument, and acknowledged to me that he/she had executed the same freely and voluntarily for the uses and purposes therein mentioned.

WITNESS my hand and notary seal on the date and years last above written.

Notary Signature

Notary Public in and for the State of _____

My Commission Expires: _____

PART IV

ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK

State of _____)
) ss.
_____ County/Judicial District)

I, _____, being first duly sworn, upon oath, do hereby depose and say:

A. I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Corporation. shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation.

B. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.

C. I have not (and, if I am the Custodian, neither I nor the recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.

D. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I will provide to Old Harbor Native Corporation. a Shareholder Social Security Number Certification Form before the shares are issued to me.

E. If I am the Custodian, I consent to my appointment as Custodian for the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodial property. I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of _____ shares of Old Harbor Native Corporation, Class _____ stock on this _____ day of _____, _____ as a gift from _____.
I understand that once this stock is transferred to me (or if I am the Custodian, the recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

Signature of Recipient or Custodian

Date

SUBSCRIBED and SWORN to before me this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

INFORMATION ON CUSTODIAN NAMED ABOVE (If Recipient is under 18 years of age.)

PART IV (Continued)

ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK

State of _____)
) ss.
_____ County/Judicial District)

I, _____, being first duly sworn, upon oath, do hereby depose and say:

A. I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Corporation. shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation.

B. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.

C. I have not (and, if I am the Custodian, neither I nor the recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.

D. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I will provide to Old Harbor Native Corporation. a Shareholder Social Security Number Certification Form before the shares are issued to me.

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I understand that once this stock is transferred to me (or if I am the Custodian, the recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

Signature of Recipient or Custodian

Date

SUBSCRIBED and SWORN to before me this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

Full Name: _____	SSN: _____
Address: _____	Date of Birth: _____
City, State, Zip: _____	OHNC ID No. (If already a Shareholder): _____
Telephone No: _____	Email: _____
Custodian for: _____	
Relationship to Recipient: <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Parent, as selected by the parents <input type="checkbox"/> Adult Member of Recipient's Family	

INFORMATION ON CUSTODIAN NAMED ABOVE (If Recipient is under 18 years of age.)

PART IV (Continued)

ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK

State of _____)
County/Judicial District) ss.

I, _____, being first duly sworn, upon oath, do hereby depose and say:

A. I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Corporation. shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation.

B. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.

C. I have not (and, if I am the Custodian, neither I nor the recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.

D. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I will provide to Old Harbor Native Corporation. a Shareholder Social Security Number Certification Form before the shares are issued to me.

E. If I am the Custodian, I consent to my appointment as Custodian for the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodial property. I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of _____ shares of Old Harbor Native Corporation, Class _____ stock on this _____ day of _____, _____ as a gift from _____. I understand that once this stock is transferred to me (or if I am the Custodian, the recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

Signature of Recipient or Custodian _____

Date _____

SUBSCRIBED and SWORN to before me this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

Form with fields: Full Name, SSN, Address, Date of Birth, City, State, Zip, OHNC ID No. (If already a Shareholder), Telephone No, Email, Custodian for, Relationship to Recipient (Legal Guardian, Parent, Adult Member of Recipient's Family)

INFORMATION ON CUSTODIAN NAMED ABOVE (If Recipient is under 18 years of age.)

PART IV (Continued)

ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK

State of _____)
County/Judicial District) ss.

I, _____, being first duly sworn, upon oath, do hereby depose and say:

A. I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Corporation. shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation.

B. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.

C. I have not (and, if I am the Custodian, neither I nor the recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.

D. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I will provide to Old Harbor Native Corporation. a Shareholder Social Security Number Certification Form before the shares are issued to me.

E. If I am the Custodian, I consent to my appointment as Custodian for the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodial property. I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of _____ shares of Old Harbor Native Corporation, Class _____ stock on this _____ day of _____, _____ as a gift from _____. I understand that once this stock is transferred to me (or if I am the Custodian, the recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

Signature of Recipient or Custodian _____

Date _____

SUBSCRIBED and SWORN to before me this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

Form with fields: Full Name, SSN, Address, Date of Birth, City, State, Zip, OHNC ID No. (If already a Shareholder), Telephone No, Email, Custodian for, Relationship to Recipient (Legal Guardian, Parent, Adult Member of Recipient's Family)

INFORMATION ON CUSTODIAN NAMED ABOVE (If Recipient is under 18 years of age.)

**OLD HARBOR NATIVE CORPORATION
SHAREHOLDER SOCIAL SECURITY NUMBER CERTIFICATION**

Provide the following information for the person who is to receive the gift of stock to verify the correct Social Security Number. If the person receiving a gift of stock is under the legal age of eighteen, complete the information for the child's custodian for the gift of Old Harbor Native Corporation stock.

I, _____, under penalties of perjury, certify the following:

1. I am (circle one) a Recipient OR a Custodian of a minor child who is a recipient of a gift of Old Harbor Native Corporation Settlement Common Stock.
My Social Security Number is (or if I am the Custodian, the minor child's Social Security Number is): _____

2. I also certify that I am

am not subject to backup withholding because:

a. I have not been notified that I am (or the above named child/ward) subject to backup withholding by the Internal Revenue Service as a result of a failure to report all interest or dividends.

OR

b. the Internal Revenue Service has notified me that I am, or the above named child/ward is no longer subject to backup withholding.

Signature Date

If you have questions on how to correctly complete this form, contact Old Harbor Native Corporation at (907)286-2286.

Full Name: _____ SSN: _____
Address: _____ Date of Birth: _____
City, State, Zip: _____ OHNC ID No. (If already a Shareholder): _____
Telephone No: _____ Email: _____
Custodian for: _____
Relationship to Recipient:
 Legal Guardian Parent, as selected by the parents Adult Member of Recipient's Family