



**INFORMATION SHEET ON APPOINTMENT OF CUSTODIAN  
FOR MINOR SHAREHOLDERS**

Under Alaska Law, children under 18 years of age who receive stock in a Native Corporation must have their stock issued to a custodian. The person giving the stock may designate the custodian if they desire. Otherwise, the custodian will be determined according to the following priority established by Alaska Law:

1. The legal guardian of the child, if any;
2. A parent of the child, as selected by the parents;
3. An adult member of the child's family, which includes parents, grandparents, brothers, sisters, uncles, and aunts, whether of the whole or half blood or through adoption, and may also include members of the family with whom the child has customarily lived.

Old Harbor Native Corporation will issue the stock in the name of the custodian, as custodian for the child. The duties of the Custodian are set out in the statute. In general, they allow the custodian to spend as much of the dividends and distributions, if any, as the custodian considers necessary for the support, maintenance, education and benefit of the child. The remainder is to be held separately on behalf of the child. All money, if it is to be invested, must be placed in a separate account with a broker or an insured financial institution in the name of the custodian followed by the words "as custodian for "(child's name) under the Alaska Uniform Transfer to Minors Act." The custodian is a person other than a parent or legal representative of the child, the records must be made available at reasonable intervals to the parents or legal representative, or, if the minor is over 14 years of age, to the minor.

The custodian is entitled to reimbursement from the custodial property for expenses, but is not entitled to any compensation unless specifically ordered by the court.

The custodianship will terminate when the child reach age 18. At termination, the dividends, distributions and other property must be turned over to the child.

For each child from whom a custodian is to be appointed, the custodian must sign Part IV: Acceptance Certificate, on behalf of the child.

**GIFT OF SETTLEMENT COMMON STOCK AND ACCEPTANCE**

State of \_\_\_\_\_ )  
 ) ss.  
\_\_\_\_\_ County/Judicial District)

I, \_\_\_\_\_, am making this Affidavit for the purposes of making an Inter Vivos Gift of Stock pursuant to the provisions of Section 7 (h)(1)(C)(iii) and Section 8(c) of the Alaska Native Claims Settlement Act. I do hereby give, assign, transfer, convey and set over to the descendant(s) I have named herein, stock in Old Harbor Native Corporation, according to the instructions I have provided in this Affidavit.

**Part I  
INFORMATION REGARDING SHAREHOLDER (Person Giving Shares)**

Full Name _____	Shareholder ID _____	
Address _____	SSN _____	
City _____ State _____ Zip Code _____	Date of Birth _____	
Phone Number _____	email _____	
Shares Owned Before This Gift: Shares _____	Total Number of Shares I am Gifting: Shares _____	Shares Owned After This Gift: Shares _____
	Number of Recipients I am Gifting Shares to: _____	

**Part II  
INFORMATION REGARDING RECIPIENT(S) (Person receiving Gift Shares)**

Full Name _____	Shareholder ID _____
Address _____	SSN _____
City _____ State _____ Zip Code _____	Date of Birth _____
Phone Number _____	email _____
Relationship to Shareholder: <input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister	
Is Recipient a minor (under 18 years of age)? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If YES, please designate a custodian here: _____	
Number of shares I am Gifting to Recipient: _____	

**Part II (Continued)**  
**INFORMATION REGARDING RECIPIENT(S)**

Full Name \_\_\_\_\_ Shareholder ID \_\_\_\_\_  
Address \_\_\_\_\_ SSN \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ Date of Birth \_\_\_\_\_  
Phone Number \_\_\_\_\_ email \_\_\_\_\_  
Relationship to Shareholder:  
 Child  Grandchild  Great Grandchild  Niece  Nephew  Brother  Sister  
Is Recipient a minor (under 18 years of age)?  Yes  No  
If YES, please designate a custodian here: \_\_\_\_\_  
Number of shares I am Gifting to Recipient: \_\_\_\_\_

Full Name \_\_\_\_\_ Shareholder ID \_\_\_\_\_  
Address \_\_\_\_\_ SSN \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ Date of Birth \_\_\_\_\_  
Phone Number \_\_\_\_\_ email \_\_\_\_\_  
Relationship to Shareholder:  
 Child  Grandchild  Great Grandchild  Niece  Nephew  Brother  Sister  
Is Recipient a minor (under 18 years of age)?  Yes  No  
If YES, please designate a custodian here: \_\_\_\_\_  
Number of shares I am Gifting to Recipient: \_\_\_\_\_

Full Name \_\_\_\_\_ Shareholder ID \_\_\_\_\_  
Address \_\_\_\_\_ SSN \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ Date of Birth \_\_\_\_\_  
Phone Number \_\_\_\_\_ email \_\_\_\_\_  
Relationship to Shareholder:  
 Child  Grandchild  Great Grandchild  Niece  Nephew  Brother  Sister  
Is Recipient a minor (under 18 years of age)?  Yes  No  
If YES, please designate a custodian here: \_\_\_\_\_  
Number and Class of shares I am Gifting to Recipient: \_\_\_\_\_

**PART III**

**AFFIDAVIT: SHAREHOLDER GIFTING STOCK AND NOTARY**

1. I understand that the Alaska Native Claims Settlement Act (43 U.S.C. 1606 and 1607) permits a shareholder to give a gift of shares to a descendant: child, grandchild, great grandchild, niece, nephew, brother or sister.
2. I attest that I am at least 18 years of age.
3. I wish to make a gift of shares to the recipient(s) shown herein.
4. I understand that if there are taxes owed as a result of this transfer, Old Harbor Native Corporation will not be responsible for payments, and that payment of any such taxes will be either my responsibility as donor or the recipient's responsibility.
5. I understand that my gift places in the hands of the recipient all authority to transfer these shares by will or gift; that I will no longer have voting rights for these shares; that I will no longer receive dividends, distributions or other benefits for the shares I am gifting.
6. I understand that by signing this affidavit, I am swearing under oath to the best of knowledge and belief that everything stated herein is true, and that I am acting of my own free will and not under any undue pressure, influence, or duress.
7. I affirm that I have not received anything of value nor was I promised anything of value as compensation or payment for the stock I wish to transfer.
8. None of the above shares, nor any of my rights relative to them, have in whole or in part been assigned, transferred, hypothecated, gifted or otherwise disposed of by court decree of separation, divorce or child support, or otherwise.
9. I have read and understand the information provided in this Affidavit.

\_\_\_\_\_  
Signature of Shareholder Gifting Stock

DATED this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

THIS IS TO CERTIFY that on this \_\_\_\_ day of \_\_\_\_\_, 20\_\_ before me, personally appeared \_\_\_\_\_, to me known and known to me to be the identical individual described in and who executed the within and foregoing instrument, and acknowledged to me that he/she had executed the same freely and voluntarily for the uses and purposes therein mentioned.

WITNESS my hand and notary seal on the date and years last above written.

\_\_\_\_\_  
Notary Signature  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_



**PART IV: ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK**

State of \_\_\_\_\_ )  
 ) ss.  
\_\_\_\_\_ County/Judicial District)

I, \_\_\_\_\_, being first duly sworn, upon oath, do hereby depose and say:

- A. I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Corporation shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation.
- B. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.
- C. I have not (and, if I am the Custodian, neither I nor the Recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.
- D. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I will provide a Shareholder W-9 Form before any shares are issued to me.
- E. If I am the Custodian, I consent to my appointment as Custodian to the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodian property, I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of \_\_\_\_\_ shares of Old Harbor Native Corporation stock on this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ as a gift from \_\_\_\_\_. I understand that once this stock is transferred to me (or if I am the Custodian, the Recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which results from being a shareholder.

\_\_\_\_\_  
Signature of Recipient or Custodian

\_\_\_\_\_  
Date

**SUBSCRIBED** and **SWORN** to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

**INFORMATION ON CUSTODIAN NAMED ABOVE (if Recipient is under 18 years of age.)**

Name _____	Shareholder ID _____
Address _____	SSN _____
City _____ State _____ Zip Code _____	Date of Birth _____
Phone Number _____ email _____	
Custodian for _____	
Relationship to Recipient: <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Parent, as selected by the parents <input type="checkbox"/> Adult Member of Recipient's Family	

**PART IV**

**ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK**

State of \_\_\_\_\_ )  
 ) ss.  
\_\_\_\_\_ County/Judicial District)

I, \_\_\_\_\_, being first duly sworn, upon oath, do hereby depose and say:

- A. I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Corporation shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation.
- B. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.
- C. I have not (and, if I am the Custodian, neither I nor the Recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.
- D. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I will provide a Shareholder W-9 Form before any shares are issued to me.
- E. If I am the Custodian, I consent to my appointment as Custodian to the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodian property, I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of \_\_\_\_\_ shares of Old Harbor Native Corporation stock on this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ as a gift from \_\_\_\_\_. I understand that once this stock is transferred to me (or if I am the Custodian, the Recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which results from being a shareholder.

\_\_\_\_\_  
Signature of Recipient or Custodian

\_\_\_\_\_  
Date

**SUBSCRIBED** and **SWORN** to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

**INFORMATION ON CUSTODIAN NAMED ABOVE (if Recipient is under 18 years of age.)**

Name _____	Shareholder ID _____
Address _____	SSN _____
City _____ State _____ Zip Code _____	Date of Birth _____
Phone Number _____	email _____
Custodian for _____	
Relationship to Recipient: <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Parent, as selected by the parents <input type="checkbox"/> Adult Member of Recipient's Family	

**PART IV**

**ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK**

State of \_\_\_\_\_ )  
 ) ss.  
\_\_\_\_\_ County/Judicial District)

I, \_\_\_\_\_, being first duly sworn, upon oath, do hereby depose and say:

- A. I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Corporation shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation.
- B. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or descendant of a Native.
- C. I have not (and, if I am the Custodian, neither I nor the Recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.
- D. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation. I will provide a Shareholder W-9 Form before the shares are issued to me.
- E. If I am the Custodian, I consent to my appointment as Custodian to the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodian property, I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of \_\_\_\_\_ shares of Old Harbor Native Corporation stock on this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ as a gift from \_\_\_\_\_. I understand that once this stock is transferred to me (or if I am the Custodian, the Recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which results from being a shareholder.

\_\_\_\_\_  
Signature of Recipient or Custodian

\_\_\_\_\_  
Date

**SUBSCRIBED** and **SWORN** to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

**INFORMATION ON CUSTODIAN NAMED ABOVE (If Recipient is under 18 years of age.)**

Name _____	Shareholder ID _____
Address _____	SSN _____
City _____ State _____ Zip Code _____	Date of Birth _____
Phone Number _____ email _____	
Custodian for _____	
Relationship to Recipient: <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Parent, as selected by the parents <input type="checkbox"/> Adult Member of Recipient's Family	



---

Corporate Office  
2702 Denali St., Suite 100, Anchorage, AK 99503  
Phone: (907) 278-6100 Fax: (907) 279-3441  
[www.oldharbornativecorp.com](http://www.oldharbornativecorp.com)

## CONSENT TO APPOINTMENT AS CUSTODIAN FOR MINOR SHAREHOLDERS ANCSA STOCK

Under Alaska law, children under 18 years of age who receive stock in Native Corporation must have their stock issued to a custodian. The person giving the stock may designate the custodian if they desire. Otherwise, the custodian will be determined according to the following priority established by Alaska law:

1. The legal guardian of the child, if any;
2. A parent of the child, as selected by the parents;
3. An adult member of the child's family, which includes parents, Grandparents, brothers, sisters, uncles and aunts, whether of whole or half-blood or through adoption, and may also include members of the family with whom the child has customarily resided.

For minor children receiving Old Harbor Native Corporation stock, the stock will be issued in the name of the designated custodian referencing "as custodian for the minor child." Voting status will apply if the child is an Alaskan Native or Descendant of the Alaska Native. The custodian retains the right to vote the stock. If the minor child is non-Native, the stock will not have any voting rights.

The custodian receives dividends and other distributions on behalf of the child. The duties of the custodian are detailed within AS 13.46.010 et seq., as it applies to ANCSA Native Corporation Stock. The custodian must complete, sign, and file with the Corporation the Consent to Appointment for ANCSA Stock, for each minor.



Corporate Office  
2702 Denali St., Suite 100, Anchorage, AK 99503  
Phone: (907) 278-6100 Fax: (907) 279-3441  
[www.oldharbornativecorp.com](http://www.oldharbornativecorp.com)

**CONSENT TO APPOINTMENT AS CUSTODIAN FOR ANCSA STOCK**

I, the undersigned, being duly sworn, depose and say the following:

1. My Name is \_\_\_\_\_
2. My mailing address is: \_\_\_\_\_
3. My date of birth is: \_\_\_\_\_ Social Security Number: \_\_\_\_\_
4. My contact number(s) are: Work \_\_\_\_\_ Cell \_\_\_\_\_  
Home \_\_\_\_\_
5. My email address is: \_\_\_\_\_
6. The minor child's name is: \_\_\_\_\_

The minor child is:

Native \_\_\_\_\_ a Descendant of a Native \_\_\_\_\_ Non-Native \_\_\_\_\_

A Native is a citizen of the United States who is one-fourth degree or more Alaskan Indian (including Tsimshian Indians not enrolled to Metlakatla Indian Community) Eskimo, Aleut blood or of any combination thereof. The term includes anyone who fits the above description either or both of whose adoptive parents are not Natives. It also includes, in the absence of proof of a minimum blood quantum, any citizen of the United States who is regarded as an Alaskan by the Native Village or Native group of which he/she claims to be a member and whose father or mother is (or, if deceased, was) regarded as Native by any village group.

Descendant of a Native is defined as:

- a. A lineal descendant of a Native or of an individual who would have been a Native if such individual was alive on December 18, 1971; or
  - b. An adoptee of a Native or of a descendant of a Native whose adoption occurred prior to his or her majority and which is recognized at law or in equity.
7. I am the:
- a. Custodian designated by the grantor of the stock \_\_\_\_\_
  - b. Legal guardian of the minor child \_\_\_\_\_
  - c. Parent \_\_\_\_\_

Corporate Office  
2702 Denali St., Suite 100, Anchorage, AK 99503  
Phone: (907) 278-6100 Fax: (907) 279-3441  
[www.oldharbornativecorp.com](http://www.oldharbornativecorp.com)

d. Adult member of the minor child's family \_\_\_\_\_

If you are the legal guardian, please attach a copy of the court order appointing you as the guardian. If you are not a designated custodian and are an adult member of the child's family other than the parents, please attach an explanation as to why neither of the child's parents is able to serve as custodian.

8. I affirm that I know of no individual who has a higher priority to be the custodian than I do. In the event that the minor is no longer in my care or someone with higher priority to be custodian is identified, I hereby resign as custodian of the minor's ANCSA Stock.
9. I hereby consent to my appointment as custodian for the above-named minor child for the Old Harbor Native Corporation stock issued to the above named minor child. I authorize Old Harbor Native Corporation to pay me on behalf of the minor child any funds, revenues, dividends or distribution payable to said minor pursuant to the Articles of Incorporation or Bylaws of the Corporation or applicable law, and to recognize me as the proxy for said minor in all corporate elections, to the extent said minor child entitled to voting rights. I agree to comply at all times with the Alaska Uniform Transfer to Minor Act, A.S. 13,46.010 et seq. as it applies to ANCSA Native Corporation Stock.

Signature: \_\_\_\_\_

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

At \_\_\_\_\_, State of \_\_\_\_\_

SUBSCRIBED AND SWORN to before me, the undersigned Notary Public

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

Signature: \_\_\_\_\_

SEAL

Notary Public for the state of \_\_\_\_\_

My Commission Expires: \_\_\_\_\_