Giving the Gift of Settlement Stock in Old Harbor Native Corporation

Any Shareholder, 18 years of age or older, may gift their stock to their Alaskan Native descendant, which is defined as: Child, grandchild, great grandchild, niece, nephew, brother or sister. Brother and sister include half-brothers and half-sisters, but does not include step-brother or step-sisters. Adopted descendants can receive a gift of stock if their adoption occurred before they reached the age of 18 and it is recognized at law or in equity. The gift can only be made if the stock is not under custodianship, guardianship or court order for divorce or child support. Be advised that any gift of stock made may have tax consequences. Therefore, we suggest seeking the advice of a tax accountant or lawyer before making any transfers.

<u>IMPORTANT NOTE:</u> The entire **gifting packet must be returned**, with the signed and notarized recipient acceptances, including all other required documents, **before the gift transfer can be completed.**

Instructions for Shareholder Gifting Shares:

• Fill in your name in the blank space provided in the first paragraph of the form.

Complete Part I Information Regarding Shareholder Giving Shares

Part II Information Regarding Recipient(s)

• Read, Sign and Date Part III Affidavit: Shareholder Gifting Stock, in the presense of Notary

Have Recipient or Recipient's Custodian sign Part IV: Acceptance Certificate

Instructions for the Recipient or Recipient's Custodian:

- 1. Fill in the Blanks of the Acceptance Certificate and, in Section A of the Acceptance Certificate, be sure to check whether you are the Recipient or the Custodian.
- 2. The Acceptance must be signed by the Recipient or, if the Recipient is under the age of 18, the Recipient's Custodian must sign the Acceptance and complete the Custodian Information.

Return the forms:

The completed, signed and notarized from must be returned to:

Old Harbor Native Corporation
2702 Denali Street Suite 100
Anchorage Alaska 99503
Telephone: 907.278.6100
Toll Free: 877.582.6100
Fax: 907.276.3441

Additional Documents Listed Below Must Accompany the Affidavit:

W-9 Form. A signed and completed W-9 form is required for each person who is

receiving a gift of stock.

Copy of Birth Certificate(s). If you are gifting to your:

Child Provide a copy of your child's birth certificate.

Grandchild Provide a copy of your grandchild's birth certificate and a copy of their

parent's (your child's) birth certificate.

Great-Grandchild Provide a copy of your great grandchild's birth certificate, a copy of the

Grandparent's birth certificate and a copy of their parent's birth

certificate.

Niece or Nephew Provide a copy of their birth certificate, a copy of your birth certificate

and a copy of their parent's birth certificate (the parent who is your

sibling).

Brother or Sister Provide a copy of their birth certificate and your birth certificate.

INFORMATION SHEET ON APPOINTMENT OF CUSTODIAN FOR MINOR SHAREHOLDERS

Under Alaska Law, children under 18 years of age who receive stock in a Native Corporation must have their stock issued to a custodian. The person giving the stock may designate the custodian if they desire. Otherwise, the custodian will be determined according to the following priority established by Alaska Law:

- 1. The legal guardian of the child, if any;
- 2. A parent of the child, as selected by the parents;
- 3. An adult member of the child's family, which includes parents, grandparents, brothers, sisters, uncles, and aunts, whether of the whole or half blood or through adoption, and may also include members of the family with whom the child has customarily lived.

Old Harbor Native Corporation will issue the stock in the name of the custodian, as custodian for the child. The duties of the Custodian are set out in the statue. In general, they allow the custodian to spend as much of the dividends and distributions, if any, as the custodian considers necessary for the support, maintenance, education and benefit of the child. The remainder is to be held separately on behalf of the child. All money, if it is to be invested, must be placed in a separate account with a broker or an insured financial institution in the name of the custodian followed by the words "as custodian for "(child's name) under the Alaska Uniform Transfer to Minors Act." The custodian is a person other than a parent or legal representative of the child, the records must be made available at reasonable intervals to the parents or legal representative, or, if the minor is over 14 years of age, to the minor.

The custodian is entitled to reimbursement from the custodial property for expenses, but is not entitled to any compensation unless specifically ordered by the court.

The custodianship will terminate when the child reach age 18. At termination, the dividends, distributions and other property must be turned over to the child.

For each child form whom a custodian is to be appointed, the custodian must sign Part IV: Acceptance Certificate, on behalf of the child.

GIFT OF SETTLEMENT COMMON STOCK AND ACCEPTANCE

of Stook purquent to 1	the provisions of	am mak	king this Affidavit for the	he purposes of making an Int Alaska Native Claims Settle
ereby give, assign, tra	ansfer, convey an	d set over to the descen	dant(s) I have named h	erein, stock in Old Harbor N
oration, according to	the instructions l	I have provided in this .	Affidavit.	
I				
ORMATION REGA	ARDING SHARI	EHOLDER (Person G	living Shares)	
Full Name			Shareholder ID	
Address			SSN	
City	State	Zip Code	Date of Birth	
Phone Number		email		
Shares Owned Before This Gift:		Total Number of Share	s I am Gifting:	Shares Owned After This Gift:
Shares		Shares		Shares
		Number of Recipients Shares to:		
ш				
: II ORMATION REGA	ARDING RECIP	PIENT(S) (Person rece	eiving Gift Shares)	
ORMATION REGA	ARDING RECIP	PIENT(S) (Person rece		
ORMATION REGA		PIENT(S) (Person rece	Shareholder ID	
Full Name			Shareholder ID	
Full Name			Shareholder ID	
Full Name Address		Zip Code	Shareholder ID SSN	
Full Name Address City	State	Zip Code	Shareholder ID SSN Date of Birth	
Full Name Address City Phone Number Relationship to Shareho Child Grand	Stateslder:	Zip Code email Niece	Shareholder ID SSN Date of Birth	rother Sister
Full Name Address City Phone Number Relationship to Shareho Child Grand Is Recipient a minor (un	State older: dchild	Zip Code email Niece	Shareholder ID SSN Date of Birth Nephew Bi	rother Sister

Part II (Continued) INFORMATION REGARDING RECIPIENT(S)

Full Name	Shareholder ID
Address	SSN
City State Zip Code	Date of Birth
Phone Number email	
Relationship to Shareholder:	
☐ Child ☐ Grandchild ☐ Great Grandchild ☐ Niece ☐ Nephew Is Recipient a minor (under 18 years of age)? ☐ Yes ☐ No	Brother Sister
Is Recipient a minor (under 18 years of age)? Yes No If YES, please designate a custodian here:	
Number of shares I am Gifting to Recipient:	
Full Name	Shareholder ID
Address	SSN
City State Zip Code	Date of Birth
Phone Number email	
Relationship to Shareholder:	
Child Grandchild Great Grandchild Niece Nephew	Brother Sister
Is Recipient a minor (under 18 years of age)? Yes No	
If YES, please designate a custodian here:	
Number of shares I am Gifting to Recipient:	
Full Name	Shareholder ID
Address	SSN
Phone Numberemail	
Relationship to Shareholder:	
Child Grandchild Great Grandchild Niece Nephe	w Brother Sister
Is Recipient a minor (under 18 years of age)? Yes No	
If YES, please designate a custodian here:	
Number and Class of shares I am Gifting to Recipient:	

PART III AFFIDAVIT: SHAREHOLDER GIFTING STOCK AND NOTARY

- 1. I understand that the Alaska Native Claims Settlement Act (43 U.S.C. 1606 and 1607) permits a shareholder to give a gift of shares to a descendant: child, grandchild, great grandchild, niece, nephew, brother or sister.
- 2. I attest that I am at least 18 years of age.
- 3. I wish to make a gift of shares to the recipient(s) shown herein.
- 4. I understand that if there are taxes owed as a result of this transfer, Old Harbor Native Corporation will not be responsible for payments, and that payment of any such taxes will be either my responsibility as donor or the recipient's responsibility.
- 5. I understand that my gift places in the hands of the recipient all authority to transfer these shares by will or gift; that I will no longer have voting rights for these shares; that I will no longer receive dividends, distributions or other benefits for the shares I am gifting.
- 6. I understand that by signing this affidavit, I am swearing under oath to the best of knowledge and belief that everything stated herein is true, and that I am acting of my own free will and not under any undue pressure, influence, or duress.
- 7. I affirm that I have not received anything of value nor was I promised anything of value as compensation or payment for the stock I wish to transfer.
- 8. None of the above shares, nor any of my rights relative to them, have in whole or in part been assigned, transferred, hypothecated, gifted or otherwise disposed of by court decree of separation, divorce or child support, or otherwise.
- 9. I have read and understand the information provided in this Affidavit.

	Signature of Shar	eholder Gifting Stock	
	DATED this	day of	, 20
THIS IS TO CERTIFY that on thisday of, to me known and known to within and foregoing instrument, and acknowledged to me th and purposes therein mentioned.	me to be the identical	individual described in	n and who executed the
WITNESS my hand and notary seal on the date and y	years last above writte	n.	
	Notary Signature	and for the State of	

My Commission Expires:_____

PART IV ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCKS

State	of							
	County/Judicial D) ss istrict)	S.					
I,	, b	eing first	duly sworn, upon	oath, do her	eby depose and	d say:		
A.	I am the RECIPIENT or C Corporation shares, includi							
B.	I certify that I am (or, if I a	m the Cu	stodian, the Recipi	ent is) a Nat	rive or descend	lant of a Nati	ve.	
C.	I have not (and, if I am the of value in exchange for the			Recipient h	as) given or pr	omised any	consideration of a	nything
D.	If I am not (or, if I am the O will provide a Shareholder					r of Old Harl	oor Native Corpor	ration, I
	I will not use any money or reimbursement for reasonal Recipient. I understand that observed by a prudent perse from all other property in a custodian may not receive a services. I will comply with I hereby acknowledge received as a gift free Custodian, the Recipient) we from being a shareholder.	ole expert in dealing manner compensed hall provent of	nses actually incurreing with custodian ag with property of sufficient to identifation except upon a visions of the Alask_shares of Old Har	ed for the suproperty, I manother, that is clearly approval by the Uniform is bor Native C I underst	apport, mainter must observe the t custodial pro- as custodial pro- the superior con Transfers to Mainter Corporation storage	nance, educate the standard of	f care that would e kept separate an minor, and that a hal and extraordin S 13.46. day of transferred to me	f the be ad distinct harry
 Signa	ture of Recipient or Custodian	 l		$\overline{\mathbb{D}}$	Pate			
	SUBSCRIBED and SWO	RN to be	fore me this	day of		_, 20		
			Notary Public My Commissi					
	INFORMATION ON CUSTODIA	N NAMEI	D ABOVE (if Recipien		, ,			
	Name				Shareholder ID			
	Address				SSN			
	CityS	tate	Zip Code		Date of Birth			
	Phone Number		email					
	Custodian for							
	Relationship to Recipient: Le	gal Guar	dian Parent, as	selected by th	ne parents	Adult Membe	er of Recipient's Fa	mily

ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK State of_____ _____County/Judicial District) _____, being first duly sworn, upon oath, do hereby depose and say: I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Α Corporation shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation. В I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native. I have not (and, if I am the Custodian, neither I nor the Recipient has) given or promised any consideration or anything \mathbf{C} of value in exchange for the making of this gift. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I D will provide a Shareholder W-9 Form before any shares are issued to me. E If I am the Custodian, I consent to my appointment as Custodian to the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodian property, I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46. I hereby acknowledge receipt of _____shares of Old Harbor Native Corporation stock on this ____day of _____, 20____ as a gift from ______. I understand that once this stock is transferred to me (or if I am the Custodian, the Recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which results from being a shareholder. Signature of Recipient or Custodian Date SUBCRIBED and SWORN to before me on this _____day of ______, 20____. Notary Public in and for the State of___ My Commission Expires: INFORMATION ON CUSTODIAN NAMED ABOVE (if Recipient is under 18 years of age.) Name Shareholder ID Address State Zip Code Date of Birth Phone Number Custodian for

PART IV:

ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK _____County/Judicial District) _____, being first duly sworn, upon oath, do hereby depose and say: I am the RECIPIENT or CUSTODIAN FOR RECIPIENT (circle one). I accept the gift of Old Harbor Native Α Corporation shares, including all the rights and responsibilities of being a shareholder of Old Harbor Native Corporation. В I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native. \mathbf{C} I have not (and, if I am the Custodian, neither I nor the Recipient has) given or promised any consideration or anything of value in exchange for the making of this gift. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Old Harbor Native Corporation, I D will provide a Shareholder W-9 Form before any shares are issued to me. E If I am the Custodian, I consent to my appointment as Custodian to the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodian property, I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46. I hereby acknowledge receipt of _____ shares of Old Harbor Native Corporation stock on this ____ day of _____, 20____ as a gift from ______. I understand that once this stock is transferred to me (or if I am the Custodian, the Recipient) will become a shareholder in the Corporation, with all the rights and responsibilities which results from being a shareholder. Signature of Recipient or Custodian Date **SUBCRIBED** and **SWORN** to before me on this day of , 20 . Notary Public in and for the State of My Commission Expires: INFORMATION ON CUSTODIAN NAMED ABOVE (if Recipient is under 18 years of age.) Name Shareholder ID State Zip Code Date of Birth Phone Number email Custodian for Relationship to Recipient: Legal Guardian Parent, as selected by the parents Adult Member of Recipient's Family

PART IV

PART IV ACCEPTANCE: GIFT OF SETTLEMENT COMMON STOCK

State	of)	SS.					
	County/J	(udicial District)						
I,		, being first o	duly sworn, upon	oath, do he	reby depose and	say:		
A.	I am the RECIPIENT Corporation shares, i			,	· .	•		
B.	I certify that I am (or	; if I am the Cus	stodian, the Recipi	ent is) a Na	ative or descenda	nt of a Nati	ve.	
C.	I have not (and, if I a of value in exchange			Recipient	has) given or pro	mised any o	consideration	or anything
D.	If I am not (or, if I ar will provide a Shareh					of Old Harl	oor Native Co	rporation. I
	will not use any mon reimbursement for re Recipient. I understa observed by a pruder	easonable expens and that in dealin	ses actually incurr	ed for the s property, I	support, maintena must observe the	nce, educate standard of erty must be	tion and benef f care that wo e kept separat	it of the uld be e and distinct
	from all other proper may not receive com comply with all prov I hereby acknowledg, 20 as a e Custodian, the Recipis from being a sharehol	rty in a manner suppensation exceptisions of the Alaste receipt of gift from ent) will become	ufficient to identife of upon approval b aska Uniform Tran shares of Old	by the super nsfers to Minimum. Harbor Na I under	rior court for unuinors Act, AS 13 ative Corporation rstand that once t	sual and ex 46. stock on the	traordinary senisday of transferred to	rvices. I will o me (or if I
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Signa	may not receive comcomply with all prov I hereby acknowledg	ty in a manner suppensation exceptisions of the Alaste receipt ofentry will become der. WORN to before the authors will be a stodian work to be for a stodian work t	ufficient to identife the upon approval baska Uniform Transka	Date day of Notary F My Com	rior court for unuinors Act, AS 13. attive Corporation restand that once to attion, with all the problem of the country of th	sual and ex 46. stock on the his stock is erights and the State of age.)	traordinary se	ome (or if



Request for Taxpayer Identification Number and Certification

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	1	Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.									
	2	Business name/disregarded entity name, if different from above									
page 3.		Check appropriate box for federal tax classification of the person whose name is entered on line 1. Ch following seven boxes.	eck only	one o	of the	cert		ties, no	des app ot individ ge 3):		
e. ns on		Individual/sole proprietor or C Corporation S Corporation Partnership single-member LLC	☐ Tru	ıst/es	tate	Exe	mpt pay	ee cod	e (if any)		
t d	[Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partner	rship) ▶								
Print or type. Specific Instructions on page		Note: Check the appropriate box in the line above for the tax classification of the single-member of LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single is disregarded from the owner should check the appropriate box for the tax classification of its own	owner of t gle-memb	he Ll	_C is	200	mption le (if an		ATCA re	porting	g
<u>C</u> į	Г	Other (see instructions) >				(Appl	ies to acco	ounts mair	ntained outs	ide the U	I.S.)
Spe	5	Address (number, street, and apt. or suite no.) See instructions.	Request	ter's	name	and a	ddress	(option	 al)		<u> </u>
See									,		
S	6	City, state, and ZIP code									
	7	List account number(s) here (optional)									
Pai	tΙ	Taxpayer Identification Number (TIN)									
		r TIN in the appropriate box. The TIN provided must match the name given on line 1 to av		Soc	ial se	curity	numb	er			
		rithholding. For individuals, this is generally your social security number (SSN). However, f alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other	or a								
		is your employer identification number (EIN). If you do not have a number, see <i>How to ge</i>	et a								
TIN, I	ater			or							_
		ne account is in more than one name, see the instructions for line 1. Also see What Name	and	Em	ploye	r iden	tificatio	n num	ber		
Numk	oer	To Give the Requester for guidelines on whose number to enter.				-					
Par	t II	Certification									
Unde	r pe	nalties of perjury, I certify that:									
		mber shown on this form is my correct taxpayer identification number (or I am waiting for									
Sei	vic	of subject to backup withholding because: (a) I am exempt from backup withholding, or (be (IRS) that I am subject to backup withholding as a result of a failure to report all interest oper subject to backup withholding; and									
3. I ar	n a	U.S. citizen or other U.S. person (defined below); and									

4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid

Sign	Signature of
Here	U.S. person ▶ Date ▶

General Instructions

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding,